



ATTORNEY'S DOCKET  
062891.0445

PATENT APPLICATION

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: K NAPPE, et al.  
Serial No.: 09/751,799  
Filing Date: December 29, 2000  
Title: METHOD AND SYSTEM FOR PARTICIPANT  
CONTROL OF PRIVACY DURING MULTIPARTY  
COMMUNICATION SESSIONS

**BOX MISSING PARTS**

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted mailed February 15, 2001. Applicants submit herewith:

(a) A copy of the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted.

(b) An executed Declaration and Power of Attorney for the above-referenced  
patent application, which was filed December 28, 2000.

ATTORNEY DOCKET NO.  
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PATENT APPLICATION  
09/751,799

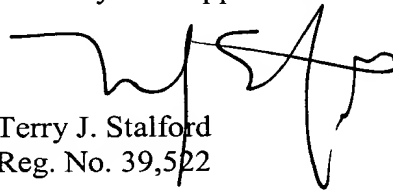
2

(c) A completed Assignment with an Assignment cover sheet for the above-referenced patent application. The recordal fee in the amount of \$40.00 is included herewith for the Assignment.

(d) A check in the amount of \$130.00 is enclosed for the surcharge for a non-small entity.

(e) The Commissioner is hereby authorized to charge any additional amount required or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants

  
Terry J. Stalford  
Reg. No. 39,522

Date: 2/26/01

Correspondence Address:

BAKER BOTTS L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, TX 75201-2980  
Tel. 214.953.6477  
Fax 214.953.4447



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#5  
0410

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAY 3 - 2001

Technology Center 2100

In re Application of: KNAPPE, et al.

Serial No. 09/751,799

Date Filed: December 29, 2000

Title: METHOD AND SYSTEM FOR PARTICIPANT  
CONTROL OF PRIVACY DURING MULTIPARTY  
COMMUNICATION SESSIONS

**BOX MISSING PARTS**

Honorable Assistant Commissioner

For Patents

Washington, D.C. 20231

RECEIVED

MAR 20 2001

Technology Center 2600

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Notice to File Missing Parts of Nonprovisional Application, and firm checks in the amount of \$130.00 to cover the surcharge for a non-small entity, and \$40.00 assignment recordation fee, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 26th day of February, 2001 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Jiles*

Willie Jiles

Express Mail Receipt

No. EL 759175071 US

Attorney's Docket: 062891.0445



030101

#3 Page 1 of 1

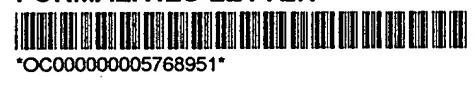
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/751,799         | 12/29/2000          | Michael E Knappe      | 062891.0445            |

CONFIRMATION NO. 5321

FORMALITIES LETTER



Terry J. Stalford  
Baker Botts LLP  
2001 Ross Avenue  
Dallas, TX 75201

Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/05/2001 MYUSUF1 00000059 09751799

01 FC:105 130.00 OP

**BEST AVAILABLE COPY**



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COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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Bib Data Sheet

CONFIRMATION NO. 5321

|                                    |   |                     |                               |   |
|------------------------------------|---|---------------------|-------------------------------|---|
| <b>SERIAL NUMBER</b><br>09/751,799 | <b>FILING DATE</b><br>12/29/2000<br><b>RULE</b> | <b>CLASS</b><br>345 | <b>GROUP ART UNIT</b><br>2173 | <b>ATTORNEY DOCKET NO.</b><br>062891.0445 |
|------------------------------------|---|---------------------|-------------------------------|---|

**APPLICANTS**

Michael E Knappe, Sunnyvale, CA;  
Shmuel Shaffer, Palo Alto, CA;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**

\*\* 03/08/2001

|  |                               |                            |                           |                                |
|--|-------------------------------|----------------------------|---------------------------|--------------------------------|
| Foreign Priority claimed<br><input type="checkbox"/> yes <input type="checkbox"/> no   | <b>STATE OR COUNTRY</b><br>CA | <b>SHEETS DRAWING</b><br>5 | <b>TOTAL CLAIMS</b><br>39 | <b>INDEPENDENT CLAIMS</b><br>7 |
| 35 USC 119 (a-d) conditions met<br><input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance |                               |                            |                           |                                |
| Verified and Acknowledged<br>Examiner's Signature _____ Initials _____   |                               |                            |                           |                                |

**ADDRESS**

Baker Botts L.L.P.  
2001 Ross Avenue  
Dallas, TX 75201-2980

**TITLE**

Method and system for participant control of privacy during multiparty communication sessions

|                                    |   |  |
|------------------------------------|---|--|
| <b>FILING FEE RECEIVED</b><br>1502 | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees                              |
|                                    |   | <input type="checkbox"/> 1.16 Fees ( Filing )                  |
|                                    |   | <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) |
|                                    |   | <input type="checkbox"/> 1.18 Fees ( Issue )                   |
|                                    |   | <input type="checkbox"/> Other _____                           |
|                                    |   | <input type="checkbox"/> Credit                                |